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PPSCKET NO.: MSFT-0323/167389.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Istvan Cseri et al.

Serial No.: 09/838,436

Group Art Unit: Not Yet Assigned

Filing Date: April 19, 2001

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM FOR PROVIDING AN XML BINARY FORMAT

DATE OF DEPOSIT: June 28, 2001
I HEREBY CERTIFY THAT THIS PAPER IS BEING
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A handwritten signature of Thomas E. Watson in black ink.

TYPED NAME: Thomas E. Watson
REGISTRATION NO.: 43,243

Assistant Commissioner for Patents
Washington DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of **\$180.00** as set forth in §1.17(p).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

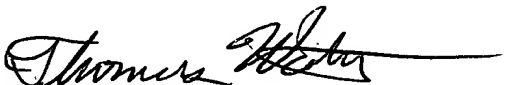
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: June 28, 2001



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. MSFT-0323/167389.1	Serial No. 09/838,436
		Applicant Istvan Cseri et al.	
		Filing Date April 19, 2001	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AA	Andrivet, S., "A Simple XML Parser," <i>C/C++Users Journal</i> , July, 1999, 22, 24, 26-28, 30 and 32	
	AB	Chahuneau, F., "SGML & Schemas: From SGML DTDs to XML-DATA," <i>Conference Proceedings SGML/XML Europe '98: From Theory to New Practices</i> , Paris, France, May 17-21, 1998, Graphic Communications Association, 83-88	
	AC	Girardot, M. et al., "Millau: an encoding formal for efficient representation and exchange of XML over the Web," <i>Computer Networks</i> , 2000, 33, 747-765	
	AD	Girardot, M. et al., "Efficient Representation and Streaming of XML Content Over the Internet Medium," <i>IEEE</i> , 2000, 67-70	
	AE	Liefke, H. et al., "An Extensible Compressor for XML Data," <i>SIGMOD Record</i> , March, 2000, 29(1), 57-62	
	AF	Liefke, H. et al., "Xmill: an Efficient Compressor for XML Data," <i>Proceedings of the 2000 ACM SIGMOD: International Conference on Management of Data</i> , Dallas, Texas, Chen, W. et al. (eds.), May 16-18, 2000, 153-164	
	AG	Mamas, E. et al., "Towards Portable Source Code Representations Using XML," <i>IEEE</i> , 2000, 172-182	
EXAMINER		DATE CONSIDERED	